

**CS. G.S.JAMBEKAR**  
**COMPANY SECRETARY**  
**B.A. LL.M. FCS. DLP. DFM. MIMA**

**401, Om Malayagiri Chs Ltd., Sant Dnyaneshwar Marg, Near Shrikrishna  
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gs.jambekar@gmail.com.**

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## **Secretarial Compliance Report of**

**KAISER CORPORATION LIMITED for the year ended 31/03/2020**

**I Ganapati Shridhar Jambekar, Company Secretary, have examined:**

- (a) all the documents and records made available to us and explanation provided by KAISER CORPORATION LIMITED ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification

for the year ended 31/03/2020 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **(No capital was issued during the year under review).**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(No such event occurred during the year under review).**



- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; ( **no buy back of shares has taken place during the year under review**)
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; ( **no ESOP or other employee benefit shares were offered/issued during the year under review**)
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; ( **no debt securities were issued or redeemed during the year under review**)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; ( **not applicable**)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; ( **no such event occurred during the year under review**).
- (i) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993

and circulars/ guidelines issued thereunder :

and based on the above examination, I/We hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars guidelines including specific clause)	Deviations	Observations Remarks of the Practicing Secretary
1	NIL	NIL	NIL

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Action taken by	Details of Violation	Details of action taken e.g. fines warning letter debarment, etc	Observations remarks of the Practicing Company Secretary, if any.

1	NA	NA	NA	NA
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(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sr. No	Observations of the Practicing Company Secretary, in previous report	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity if any	Comments of the Practicing Company Secretary, on the action taken by the listed entity
1	NA	NA	NA	NA

**For G S Jambekar  
Company Secretaries**

*Ganapati Shridhar Jambekar*

**Ganapati Shridhar Jambekar  
Practicing Company Secretary  
FCS NO 1569 CP NO. 3735**

**Place : Mumbai  
Date : 26/06/2020.**

**UDIN: F001569B000405821**

